

§ 3 Adding no reals

All of the forcings we have hitherto discussed add new reals. In the first application this was, of course, necessitated by the problem, since a countable \bar{M} which iterates to M in ω_1 steps must be new. In the last application, however, the problem was simply to collapse ω_2 to ω while giving it cofinality ω . The emergence of new reals in the generic extension resulted only from the fact that our condition says too little about the extension. In this chapter we define a class of analogous forcings which, however, do not add new reals. This will enable us fr. ins. to give a positive answer to the following question: Assume GCH. Let κ be a measurable cardinal and $\beta > \kappa$ be a cardinal. Let U be a normal measure on κ . Let $M = \langle L_\beta^A, U \rangle$, where $L_\beta^A = H_\beta$. Is there a forcing extension adding no reals in which an \bar{M} iterates in ω_1 many steps to M ? (\bar{M} will obviously have to be uncountable.)

In the following ω_1 will play the role of κ in § 1. We assume $2^\omega = \omega_1$. Let $\beta > \omega_1$ be a cardinal s.t. $2^\beta = \beta$.

Let $M = L_\beta^A = \langle L_\beta[A], A \rangle$, where $L_\beta[A] = H_\beta$.

Set $N = \langle H_{\beta^+}, M, <, m \rangle$, where $<$ well orders N .

Let \mathcal{L} be any infinitary language on the ZFC--model N with:

Predicate $\dot{\in}$

Constants \underline{x} ($x \in \mathbb{N}$), \dot{M} , $\dot{\pi}$, \dot{B}

Axioms: ZFC⁻, $\bigwedge \sigma (\sigma \in \underline{x} \leftrightarrow \forall z \in x \sigma = z)$

(for all $x \in \mathbb{N}$) and

- $\dot{M} = \langle \dot{M}_i \mid i \leq \underline{\omega}_1 \rangle$, $\dot{\pi} = \langle \dot{\pi}_{ij} \mid i \leq j \leq \underline{\omega}_1 \rangle$
- $\dot{\pi}$ is a continuous commutative sequence of elementary embeddings $\dot{\pi}_{ij}: \dot{M}_i \hookrightarrow \dot{M}_j$
- $\dot{M}_{\underline{\omega}_1} = \underline{M}$; \dot{M}_i is countable for $i < \underline{\omega}_1$
- $\dot{\pi}_{ij} \upharpoonright \dot{d}_i = \text{id}$, $\dot{\pi}_{ij}(\dot{d}_i) = \dot{d}_j$ where $\dot{d}_i = \bigcup_{\alpha < \omega_1} \dot{M}_\alpha$
- $\beta_i < \dot{d}_{i+1}$ for $i < \underline{\omega}_1$, where $\beta_i = \text{om} \cap \dot{M}_i$
- $\dot{B} \subset \underline{M}$
- $H_{\omega_1} = \underline{H}_{\omega_1}$

We call these the basic axioms. Note that by the last axiom we have:

$$\langle \dot{M}_i \mid i \leq \underline{\xi} \rangle, \langle \pi_{i, \underline{\xi}} \mid i \leq i \leq \underline{\xi} \rangle \in H_{\omega_1} \text{ for } \underline{\xi} < \omega_1.$$

Moreover, setting $\dot{M}_i^* = \underset{\beta_i}{J} \dot{A}_i, \dot{B}_i$ where

$$\dot{B}_i = \pi_{i, \omega_1}^{-1} \text{ " } \dot{B} \text{ , } \dot{A}_i = \pi_{i, \omega_1}^{-1} \text{ " } \dot{A} \text{ ,}$$

$$\text{we have } \langle \dot{M}_i^* \mid i \leq \underline{\xi} \rangle \in H_{\omega_1} \text{ for } \underline{\xi} < \omega_1.$$

$$\text{We also set : } M^* = \underset{\omega_1}{M}^* = \underset{\beta}{J} \dot{A}, \dot{B}.$$

Note The basic axioms do not say that $\pi_{i, \underline{\xi}}$ takes \dot{M}_i^* to \dot{M}_j^* , though $\pi_{i, \underline{\xi}}$ will, of course, be a structurally preserving embedding of $\langle \dot{M}_i, \dot{B}_i \rangle$ to $\langle \dot{M}_j, \dot{B}_j \rangle$.

We now associate to each \mathcal{L} satisfying the basic axioms a set of conditions $IP = IP_{\mathcal{L}}$.

The conditions in § 2 gave - essentially - finite information about \dot{M}, π .

The new conditions give countable information.

We first define a set \tilde{IP} of preconditions:

Def \tilde{IP} = the set of pairs $\langle p_0, p_1 \rangle$ s.t. for some δ :

(a) $p_0 = \langle M^P, \pi^P, b^P \rangle, p_0 \in H_{\omega_1}$

- $M^P = \langle M_i^P \mid i \leq \delta \rangle, \pi^P = \langle \pi_{ij}^P \mid i \leq j \leq \delta \rangle$

- $M_i^P = \bigcup_{d_i} A_i$ where $d_i < \omega_1$

- $b^P \subset M_{\delta}^P$

- π^P is a continuous, commutative system of elementary embeddings $\pi_{ij}^P: M_i^P \hookrightarrow M_j^P$ ($i \leq j$)

(b) p_1 is a countable set of pairs $\langle a, \bar{a} \rangle$ s.t. $a \in M, \bar{a} \subset M_{\delta}^P$.

We set: $|p| = \delta$ _{pf}, where δ is as above, for $p \in \tilde{IP}$.

Def Let $p \in \tilde{IP}$. φ_p is the following \mathcal{L} -formula:

$$\underline{M}^P = \langle \dot{M}_i \mid i \leq \underline{|p|} \rangle \wedge \underline{\pi}^P = \langle \dot{\pi}_{ij} \mid i \leq j \leq \underline{|p|} \rangle \wedge$$

$$\wedge \bigwedge_{\langle a, \bar{a} \rangle \in p_1} \dot{\pi}_{\underline{|p|}, \underline{\omega_1}} : \langle \underline{M}_{\underline{|p|}}^P, \bar{a} \rangle \prec \langle \underline{M}, a \rangle \wedge$$

$$\wedge \underline{b}^P = \dot{\pi}_{\underline{|p|}, \underline{\omega_1}}^{-1} \text{ " } \underline{B} \text{ " .}$$

Set $\mathcal{L}(p) = \mathcal{L} + \varphi_p$. We define

$$IP = IP_{\mathcal{L}} = \langle IP, \leq \rangle \text{ by:}$$

Def $IP = \{p \in \tilde{IP} \mid \mathcal{L}(p) \text{ is consistent}\}$

$$\begin{aligned}
 p \leq q &\iff (M^q = M^p \upharpoonright |q|+1 \wedge \pi^q = \pi^p \upharpoonright (|q|+1)^2 \\
 &\wedge \wedge \langle \bar{a}, a \rangle \in q \quad \forall a' (\langle a, a' \rangle \in p \wedge \\
 &\wedge \pi_{|q|, |p|}^p : \langle M_{|q|}^q, \bar{a} \rangle \leq \langle M_{|p|}^p, a' \rangle) \\
 &\wedge b^q = \pi_{|q|, |p|}^{p^{-1}} \text{ `` } b^p \text{)}
 \end{aligned}$$

It is easily seen that \leq is a partial ordering of IP .

Lemma 0.1 p_1^{-1} is a function
 proof. Let \mathcal{M} be a solid model of $\mathcal{L}(p)$
 (in some forcing collapse of β^+ to ω).
 Then $\langle a, \bar{a} \rangle \in p_1 \rightarrow \bar{a} = \pi_{|p|, \omega_1}^{p_1^{-1}} \text{ `` } a$.

Def Let $p \in IP$. We set:

$$F^p = p_1, \quad R^p = \text{rng}(p_1), \quad D^p = \text{dom}(p_1).$$

$$\text{For } a \in R^p \text{ set: } \bar{a}^p = p_1^{-1}(a).$$

For $i \leq |p|$ set:

$$\begin{aligned}
 F_i^p &= \{ \langle a, \bar{a} \rangle \mid a \in R^p \wedge \bar{a} = \pi_{i, |p|}^{p^{-1}} \text{ `` } \bar{a}^p \wedge \\
 &\wedge \pi_{i, |p|}^p : \langle M_i^p, \bar{a} \rangle \leq \langle M_{|p|}^p, \bar{a}^p \rangle \}
 \end{aligned}$$

(Hence $F^p = F_{|p|}^p$)

$$R_i^p = \text{rng}(F_i^p), \quad D_i^p = \text{dom}(F_i^p)$$

Lemma 0.2 Let R^P be closed under set difference. Then F^P is a bijection of D^P onto R^P .

proof.

Let $\langle a, \bar{a} \rangle, \langle b, \bar{b} \rangle \in F^P$. It suffices to show:

Claim $\bar{a} \subset \bar{b} \rightarrow a \subset b$.

Set $\bar{c} = \bar{b} \setminus \bar{a}$, $c = b \setminus a$. Let \mathcal{M} be a solid model of $\mathcal{L}(P)$. Let $\pi = \pi_{|P|, \omega_1}^{\mathcal{M}}$. Then

$$F^{-1}(c) = \pi^{-1} \langle b \setminus a \rangle = \pi^{-1} \langle b \setminus \pi^{-1} \bar{c} \rangle = \bar{b} \setminus \bar{a} = \bar{c}.$$

Hence $\bar{b} \subset \bar{a} \rightarrow \bar{c} = \emptyset \rightarrow c = \emptyset \rightarrow b \subset a$,

since $\pi : \langle M_{|P|}^P, \bar{c} \rangle \prec \langle M, c \rangle$. QED (0.2)

Note P_1 plays largely the role of P_2 in § 2. The component $P_1 = \langle \pi_i^P \mid i \in D(P) \rangle$ of § 2 is superfluous here, since the information it contains can be subsumed in our $P_1 = F^P$:

Def $\pi_i^P = \pi_{i, \omega_1}^P = \text{pt } F^P \circ \pi_{i, |P|}^P$ for $i \in |P|$

Lemma 0.3 π_i^P is a partial injection of M_i^P into M

proof.

It suffices to prove this for $i = |P|$. Let

$\langle x, \bar{x} \rangle \in F^P$ where $\bar{x} \in M_{|P|}^P$. Let

\mathcal{M} be a solid model of $\mathcal{L}(P)$.

Then $\pi_{|P|, \omega_1}^{\mathcal{M}} : \langle M_{|P|}^P, \bar{x} \rangle \prec \langle M, x \rangle$. Hence
 $x \in M$ and $x = \pi_{|P|, \omega_1}^{\mathcal{M}}(\bar{x})$. QED (0.3)

Note It follows that if \mathcal{M} is a solid model of $\mathcal{L}(P)$, then $\pi_i^P \subset \pi_{i, \omega_1}^{\mathcal{M}}$ for all $i \leq |P|$.

Note It is easily seen that

$$P \leq Q \rightarrow F_i^Q \subset F_i^P \text{ for } i \leq |Q|$$

$$\rightarrow \pi_i^Q \subset \pi_i^P \quad \text{''}$$

We define:

Def Let $p, q \in IP$.

$$p \parallel q \iff_{\text{pt}} p, q \text{ are compatible in } IP$$

(i.e. $\forall r \leq p, q$)

$$p \perp q \iff_{\text{pt}} \neg (p \parallel q)$$

Lemma 1.1 $p \parallel q \iff \mathcal{L}(p) \cup \mathcal{L}(q)$ is consistent,

proof.

(\rightarrow) Let $r \leq p, q$. Then $\mathcal{L}(r) \vdash \mathcal{L}(p) \cup \mathcal{L}(q)$.

(\leftarrow) Let \mathcal{M} be a solid model of $\mathcal{L}(p) \cup \mathcal{L}(q)$.

Since ω_1 is regular in \mathcal{M} and $R^P \cup R^Q$ is countable in \mathcal{M} , there is an $\alpha < \omega_1$ s.t. $\alpha \geq |P|, |Q|$ and for all $a \in R^P \cup R^Q$

we have: $\pi_{\alpha, \omega_1}^{\mathcal{M}} : \langle M_{\alpha}^{\mathcal{M}}, a^* \rangle \prec \langle M, a \rangle$,

where $a^* = \pi_{\alpha, \omega_1}^{\mathcal{M}-1} \langle a \rangle$.

Define $\alpha \in \tilde{IP}$ by:

$$M^\alpha = \langle M_i^{\omega_1} \mid i \leq \alpha \rangle, \quad \pi^\alpha = \langle \pi_{i,i}^{\omega_1} \mid i \leq i \leq \alpha \rangle$$

$$b^\alpha = \pi_{\alpha, \omega_1}^{\omega_1} \circ B^{\omega_1}, \quad F^\alpha = \{ \langle a, a^* \rangle \mid a \in R^P \cup R^Q \}$$

Then $\alpha \in IP$, since $M \models \mathcal{L}(\alpha)$. It follows easily that $\alpha \leq p, q$. QED (1.1)

We also obtain the following lemma on extending conditions:

Lemma 1.2 Let $p \in IP$. Let $u \subset \mathcal{M}(M)$ be at most countable. There is $q \leq p$ s.t. $u \subset R^q$.

prf. Like 1.1

Hence:

Cor 1.3 Let $p \in IP$, $u \subset M$, $\bar{u} \leq \omega$. There is $q \leq p$ s.t. $u \subset \text{rang}(\pi_{|q|}^q)$

Lemma 1.4 Let $p \in IP$ + let $u \subset M_i^P$ be finite.

There is $q \leq p$ s.t. $u \subset \text{dom}(\pi_{i,i}^q)$.

prf.

Let M be a solid model of $\mathcal{L}(p)$.

Define q by: $M^q = M^p$, $\pi^q = \pi^p$,

$R^q = R^p \cup R$, where

$$R = \{ \langle \pi_{|p|, \omega_1}^{\omega_1}(x), \pi_{i,i}^p(x) \rangle \mid x \in u \}$$

Then $M \models \mathcal{L}(q)$. Hence $q \leq p$.

QED (1.4)

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Lemma 1.5 Let $p \in IP$, $|p| \leq \alpha < \omega_1$. There
 is $q \subseteq p$ s.t. $|q| \geq \alpha$.
 Prf. Like 1.1

Using the extension lemmas we easily
 get:

Lemma 2 Let G be IP -generic over V .
 Define $M^G = \langle M_i^G \mid i \leq \omega_1 \rangle$, $\pi^G = \langle \pi_i^G \mid i \leq \omega_1 \rangle$,

$B^G \subset M$ by:

$$M^G \upharpoonright \omega_1 = \bigcup_{p \in G} M^p, \quad \pi^G \upharpoonright \omega_1^2 = \bigcup_{p \in G} \pi^p,$$

$$M_{\omega_1}^G = M, \quad \pi_{i, \omega_1}^G = \bigcup_{p \in G} \pi_i^p,$$

$$B^G = \bigcup_{p \in G} \pi_i^p \text{ " } b^p.$$

Then the following hold:

(A) M^G, π^G is a continuous commutative
 sequence of elementary embeddings.

(B) $\omega_1 \in \text{rng}(\pi_{i, \omega_1}^G)$. Moreover,

$$d_i^G = \text{crit}(\pi_{i, \omega_1}^G), \text{ where } d_i^G = \pi_{i, \omega_1}^{G-1}(\omega_1).$$

(C) $\beta_i^G < d_{i+1}^G$, where $\beta_i^G = \text{On } M_i^G$

(D) $b_i^p = \pi_{i, \omega_1}^{G-1} \text{ " } B^G$ for all $p \in G, i \leq |p|$.

(E) If $p \in G, d = \omega_1^p$, and $\langle a, \bar{a} \rangle \in F^p$,

then $\pi_{|p|, d} \text{ " } \langle M_{|p|}^p, \bar{a} \rangle \prec \langle M, a \rangle$.

We now formulate a condition called revisability which will guarantee that \mathbb{IP} adds no new reals.

We first define:

Def Let $N^* = \langle H_\delta, M, <, \dots \rangle$ be a model of countable or finite type, where $\delta \geq \beta^+$ is a cardinal and $<$ well orders H_δ . Let $p \in \mathbb{IP}$. p conforms to N^* iff whenever $a_1, \dots, a_n \in \mathbb{R}^p$ and $b \in M$ is N^* -definable in a_1, \dots, a_n ($n \geq 0$), then $b \in \mathbb{R}^p$.

Note $\{p \mid p \text{ conforms to } N^*\}$ is dense in \mathbb{IP} by the extension lemmas.

Note If p conforms to N^* , then $\mathbb{R}^p \neq \emptyset$ and $F^p; D^p \leftrightarrow F^p$ by Lemma 0.2.

Before defining revisability we must prove a theorem:

Lemma 3 Let p conform to N^* . There is a unique $\bar{N}^* = \bar{N}^*(p, N^*)$ s.t.

(i) \bar{N}^* is transitive and of the same type as N^*

(ii) If $a_1, \dots, a_m \in \bar{P}^P$ ($m \geq 0$) and $b \in M \cup N^*$ definable in a_1, \dots, a_m , then $\bar{a}_1^P, \dots, \bar{a}_m^P \in \bar{N}^*$ and \bar{b}^P is \bar{N}^* -definable in $\bar{a}_1^P, \dots, \bar{a}_m^P$ by the same definition.

(iii) Each $x \in \bar{N}^*$ is \bar{N}^* -definable from parameters in $M_{|P|}^P \cup D^P$

Moreover, If \mathcal{M} is a solid model of $\mathcal{L}(p)$, then $\pi_{|P|, \omega_1}^{\mathcal{M}} \cup F^P$ extends uniquely to

a $\pi; \bar{N}^* \prec N^*$ s.t. $\pi(\bar{a}) = a$ whenever

$\langle a, \bar{a} \rangle \in F^P$.

(Note that $M_{|P|}^P = \bar{M}^P \in \bar{N}^*$ by (b))

proof.

We first show the existence of \bar{N}^* satisfying (i)–(iii). Exactly as in the proof of §2 Lemma 3 we get:

Fact For any $X \subset M$ the following are equivalent:

(a) $X \prec \langle M, a \rangle$ for all $a \in \mathbb{R}^P$

(b) Let $Y =$ the smallest $Y \prec N^*$ s.t.
 $X \cup \mathbb{R}^P \subset Y$. Then $Y \cap M = X$

(b) \rightarrow (a) is trivial. (a) \rightarrow (b) follows from the fact that each $z \in Y$ is N^* -definable from parameters in $X \cup \mathbb{R}^P$.

Now collapse β^{++} to ω and work in the resulting model $V[G]$. Let \mathcal{M} be a solid model of $\mathcal{L}(P)$. Then $\mathbb{R}^P \in N \subset \mathcal{M}$, since $\mathbb{R}^P \subset N$ is countable.

But $\pi_{|P|, \omega_1}^* : \langle M_{|P|}^P, \bar{a}^P \rangle \prec \langle M, a \rangle$

for all $a \in \mathbb{R}^P$, or in other words, $X \prec \langle M, a \rangle$ for all $a \in \mathbb{R}^P$, where

$X = \text{rng}(\pi_{|P|, \omega_1}^*)$. Let Y be the

smallest $Y \prec N^*$ s.t. $X \cup \mathbb{R}^P \subset Y$.

Let $\pi : \bar{N}^* \xrightarrow{\sim} N^* \upharpoonright Y$ be the transitive closure of $N^* \upharpoonright Y$.

Claim 1 \bar{N}^* satisfies (i) - (iii)

proof.

Since $X = Y \cap M$, we clearly have

$$\pi \upharpoonright M_{|P|}^P = \pi_{|P|, \omega_1}^* \quad \text{and}$$

$$\pi^{-1}(a) = \pi^{-1}(\chi na) = \frac{1}{|\pi|, \omega_1} \pi^{-1}(\chi na) = \bar{a}^p \text{ for } a \in \mathbb{R}^p.$$

(ii), (iii) follow easily, QED (Claim 1)

But \bar{N}^* was constructed in $V[G]$, so we must show:

Claim 2 $\bar{N}^* \in V$

proof.

Let $\tilde{Y} =$ the smallest $\tilde{Y} \subset N^*$ s.t. $M \cup \mathbb{R}^p \subset \tilde{Y}$. Then \tilde{Y} has cardinality β in V . Hence,

letting $\tilde{\pi} : \tilde{N}^* \leftrightarrow N^* \setminus \tilde{Y}$ be the transitive closure of $N^* \setminus \tilde{Y}$, we

have: $\tilde{N}^* \in N \subset \mathcal{M}$. Note that $\tilde{\pi} \upharpoonright M \cup \mathbb{R}^p = \text{id}$. Hence, letting

$\hat{Y} =$ the smallest $\hat{Y} \subset \tilde{N}^*$ s.t. $X \cup \mathbb{R}^p \subset \hat{Y}$, we have $\hat{Y} \in \mathcal{M}$.

Hence $\hat{\pi}, \hat{N}^* \in \mathcal{M}$, where

$\hat{\pi} : \hat{N}^* \leftrightarrow \tilde{N}^* \setminus \hat{Y}$ is the transitive closure of $\tilde{N}^* \setminus \hat{Y}$. But

obviously $\hat{N}^* = \bar{N}^*$; hence

$$\bar{N}^* \in H_{\omega_1}^{\mathcal{M}} = H_{\omega_1}^V. \text{ QED (Claim 2)}$$

Note We have shown that if \mathcal{M} is a model of $\mathcal{L}(p)$, then there is an $\bar{N}^* \in \mathcal{V}$ satisfying (i) - (iii) with the property that $\bar{\pi}_{|p|, \omega_1}^{\mathcal{M}}$ extends uniquely to a $\pi: \bar{N}^* \rightarrow N^*$. The last part of the theorem is, therefore, established as soon as we show:

Claim 3 There is at most one \bar{N}^* satisfying (i) - (iii)

prf.

Let \bar{N}_0^*, \bar{N}_1^* satisfy (a) - (c). Then:

(1) Let $x_1, \dots, x_n \in M_{|p|}^P, b_1, \dots, b_m \in D^P$.

Then $\bar{N}_0^* \models \varphi(\vec{x}, \vec{b}) \iff \bar{N}_1^* \models \varphi(\vec{x}, \vec{b})$

for all N^* -formulae φ .

Proof.

Let $b_i = \bar{a}_i$, where $a_i \in \mathbb{R}^P$ ($i=1, \dots, m$)

Set: $C = \{ \langle \vec{x} \rangle \in M \mid N^* \models \varphi(\vec{x}, \vec{a}) \}$.

Then $C^h = \{ \langle \vec{x} \rangle \in M_{|p|}^P \mid \bar{N}_h^* \models \varphi(\vec{x}, \vec{b}) \}$

for $h=0, 1$.

But it then follows easily that

$\text{id} \upharpoonright (M_{|p|}^P \cup D^P)$ extends to a

$\sigma: \bar{N}_0^* \xrightarrow{\sim} \bar{N}_1^*$. Since \bar{N}_0^*, \bar{N}_1^* are

transitive, we conclude: $\bar{N}_0^* = \bar{N}_1^*$.

QED (Lemma 3)

As a corollary of the proof we get:

Cor 3.1 Let G be IP-generic over V . Let $p \in G$ conform to N^* . Then $\pi_{|P|, \omega_1}^G \cup F^P$ extends uniquely to a $\pi: \bar{N}^* \prec N^*$.

proof.

By a proof similar to (1) in Claim 3:

(1) Let $a_1, \dots, a_m \in M_{|P|}^P$, $b_1, \dots, b_n \in D^P$. Then $\bar{N}^* \models \varphi(\vec{a}, \vec{b}) \iff N^* \models \varphi(\pi_{|P|, \omega_1}^G(\vec{a}), F^P(\vec{b}))$.

The result follows easily, since each element of \bar{N}^* is definable in parameters from $M_{|P|}^P \cup D^P$. QED (3.1)

Note - If we assume that $\Vdash \check{\varphi}(\check{\omega}) \in \check{V}$, we obtain the following fact, whose proof we shall bring later.

Set \mathcal{L}^* = the set of φ which are forced by IP to hold in $N[G^\circ]$, where $\dot{M}, \dot{\pi}, \dot{B}$ are interpreted by $M^{\dot{G}}, \pi^{\dot{G}}, B^{\dot{G}}$. Then

(a) $IP = IP_{\mathcal{L}^*}$

(b) $p \Vdash_{IP}^N \varphi \iff \mathcal{L}^*(p) \Vdash \varphi$

If $G \ni p$ is IP-generic over V , then $\langle N[G], M^G, \pi^G, B^G \rangle$ is a solid model of $\mathcal{L}^*(p)$. Thus Cor 3.1 becomes a special case of Lemma 3.

The condition of reversibility then says that certain conditions p can be revised, leaving $M_{|p|}^p$ and F^p fixed, but changing $M^p \upharpoonright |p|$, $\pi^p \upharpoonright |p|^2$ and b^p .

Def $\mathbb{P} = \mathbb{P}_{\mathcal{L}}$ is reversible iff for all sufficiently large cardinals $\delta > 2^{\aleph}$ we have:

(*) Let $p \leq \pi$ in \mathbb{P} , where \mathbb{P} conforms to

$$N^* = \langle H_{\delta}, M, \langle, \mathbb{P}, \pi, \text{in} \rangle. \text{ Let } \bar{N}^* =$$

$$= \bar{N}^*(p, N^*) = \langle \bar{H}, \bar{M}, \bar{\mathbb{P}}, \bar{\pi}, \bar{\text{in}} \rangle \text{ be as Lemma 3.}$$

(Hence $\bar{\pi} \in \bar{\mathbb{P}}$). Then there is $G \ni \bar{\pi}$ such, G is $\bar{\mathbb{P}}$ -generic over \bar{N}^* and, letting M^G, π^G, B^G be defined over $\bar{N}^*[G]$ as in Lemma 2, we have: $q \in \mathbb{P}$, where $q = \langle \langle M^G, \pi^G, M^G \rangle, F^p \rangle$.

Note As defined here, reversibility is a property of \mathbb{P} rather than \mathcal{L} .

Note It follows easily that $q \leq \pi$.

Note In some applications we shall have a stronger form of reversibility:

For every $\bar{\pi} \in \bar{\mathbb{P}}$ there is $G \ni \bar{\pi}$ satisfying the above condition.

Def We say that μ witnesses the reversibility of \mathbb{P} iff (*) holds for all $\delta \geq \mu$.

We also define:

Def $\mathbb{P} = \mathbb{P}_{\mathcal{L}}$ is weakly reversible iff there exists a s.t. for all sufficiently large cardinals $\delta > 2^{\delta}$ we have:

(**) Let $p \leq \kappa$ in \mathbb{P} , where \mathbb{P} conforms to

$N^* = \langle H_{\delta}, M, <, d, \mathbb{P}, \kappa, m \rangle$. Let $\bar{N}^* =$

$\bar{N}^*(p, N^*) = \langle \bar{H}, \bar{M}, <, \bar{d}, \bar{\mathbb{P}}, \bar{\kappa}, \bar{m} \rangle$. Then

there is $G \in \bar{\mathbb{P}}$ s.t. G is $\bar{\mathbb{P}}$ -generic over \bar{N}^*

and: $q \in \mathbb{P}$, where $q = \langle \langle M^G, \bar{M}^G, M^G \rangle, F^G \rangle$.

Def $\langle d, \mu \rangle$ witnesses the weak reversibility of \mathbb{P} iff (**) holds for all $\delta \geq \mu$.

Lemma 3.2 $\mathbb{P} = \mathbb{P}_{\mathcal{L}}$ is reversible iff it is weakly reversible.

prf.

(\rightarrow) is trivial. We prove (\leftarrow).

Say that \mathbb{P} is $\langle d, \delta \rangle$ -reversible iff (***) holds.

For $\gamma \leq \infty$ set:

$\mu(\gamma)$ is the least μ s.t. there is a $d \in H_{\mu}$ with: \mathbb{P} is $\langle d, \delta \rangle$ reversible for all cardinals $\delta \in [\mu, \gamma)$.

X_{γ} is the set of such $d \in H_{\mu(\gamma)}$

Then $\mu(\gamma) < \gamma$ and X_{γ} are defined for sufficiently large cardinals γ .

Moreover, if $\gamma \leq \gamma'$ and $\mu(\gamma)$ is defined, then:

$$\mu(\gamma) \leq \mu(\gamma') \leq \mu(\infty) \wedge X_{\gamma} \supset X_{\gamma'} \supset X_{\infty}$$