

## Preliminaries

ZF<sup>-</sup> ("ZF without power set") consists of the axioms of extensionality and foundation together with:

(1)  $\emptyset, \{x, y\}, \cup x$  are sets

(2) (Axiom of Subsets or "Aussonderungsaxiom")  
 $x \cap \{z \mid \varphi(z)\}$  is a set

(3) (Axiom of Collection)

$$\forall x \forall y \varphi(x, y) \rightarrow \exists u \forall v \forall x \in u \forall y \varphi(x, y)$$

(4) (Axiom of infinity)  $\omega$  is a set

Note (3) implies the usual replacement axiom, but cannot be derived from it without the power set axiom.

ZFC<sup>-</sup> is ZF<sup>-</sup> together with the strong form of the axiom of choice:

(5) Every set is enumerable by an ordinal.

Note The power set axiom is required to derive (5) from the weaker forms of choice.

The Levy hierarchy of formulae is defined in the usual way:

$\Sigma_0$  formulae are the formulae containing only bounded quantification — i.e.

$\Sigma_0$  = the smallest set of formulae containing the primitive formulae and closed under sentential operations and bounded quantification:

$$\lambda x \in y \varphi, \quad Vx \in y \varphi$$

(where  $\lambda x \in y \varphi = \lambda x (x \in y \rightarrow \varphi)$  and

$$Vx \in y \varphi = Vx (x \in y \wedge \varphi)).$$

(In some contexts it is useful to introduce bounded quantifiers as primitive signs rather than defined operations.)

We let  $\vdash \text{TT}_0 = \Sigma_0$ .  $\Sigma_{n+1}$  formulae are then the formulae of the form

$Vx \varphi$ , where  $\varphi$  is  $\text{TT}_n$ . Similarly

$\text{TT}_{n+1}$  formulae have the form  $\lambda x \varphi$ ,

where  $\varphi$  is  $\Sigma_n$ .

A relation  $R$  on the model  $M$  is called  $\Sigma_n(M)$  ( $\text{TT}_n(M)$ ) iff it is definable over  $M$  by a  $\Sigma_n(\text{TT}_n)$  formula.

$R \in \Sigma_m(\omega) (\overline{\text{TT}}_m(\omega))$  in the parameters  
 $p_1, \dots, p_m$  iff it is  $\Sigma_m(\overline{\text{TT}}_m)$  definable  
in the parameters  $p_1, \dots, p_m \in \omega$ . At  
 $i \in \Sigma_m(\omega) (\overline{\text{TT}}_m(\omega))$  iff it is  
 $\Sigma_m(\overline{\text{TT}}_m)$  definable in some  
parameters. At  $i \in \Delta_m(\omega)$  iff it  
is  $\Sigma_m(\omega)$  and  $\overline{\text{TT}}_m(\omega)$ .